

PROCEDURE FOR CAPITAL PROJECTSI. Purpose

For purposes of Board of Trustees approval, a Capital Project is defined as: -1) construction, capital improvement, renovation or major repair to real property or structures owned by or leased to the Board where the work requires the services of an architect or engineer approved by the Board of Trustees in accordance with Board Policy 740.1¹ and the work is to be performed by or on behalf of the campus, division or unit; 2) work that requires major substantive changes to the exterior facade of a building; or 3) any new construction, capital improvement, renovation, or remodeling projectmajor repair to real property or structures not covered in subparagraph 1 that the Chancellor ~~and~~ Vice President for Agriculture or unit chief executive officer, in consultation with the President ~~shall,~~ determine should be brought to the Board of Trustees for approval.

For Capital Projects with an estimated cost, exclusive of land, in excess of \$5,000,000, a campus, division or unit (campus) may, with the President's approval, follow the process for Major Capital Projects described in Board Policy 730.2 in lieu of the process described in this policy.

Projects conducted under the authority of the Arkansas Guaranteed Cost Savings Act will be reviewed by the Board of Trustees through the process described in Board Policy 730.3.

II. Approval Process

To obtain approval of a Capital Project (other than a project subject to Policy 730.2) the campus shall complete the following steps. The President may, with the consent of the chair of the Board's buildings and grounds committee, authorize the campus to combine or modify any of these steps where appropriate to the project.

A. Campus officials submit the completed Capital Project Proposal to the President for review and recommendations. The project proposal will be in form and substance prescribed by the President, will describe the project function and location, the estimated project cost, the proposed source of funds, a parking plan to support a new or expanded facility, and will contain such other information as may be required by the President.

B. If the Capital Project proposal is approved by the President copies of the proposal and approval are submitted to the Board and to the Chancellor, Vice President for Agriculture or chief executive of the requesting campus.

¹ Projects designed, supervised or managed by architects or engineers selected pursuant to Board Policy 740.2 (Selection of Architects or Engineers for Small Projects and On-call Contracts) are not subject to this policy.

- C. Following the President's approval of the project proposal the campus follows the selection process for architects and engineers established in Board Policy 740.1.
- D. The project proposal and the campus recommendations for project architects and engineers are submitted to the Board for selection of architects and engineers. Where borrowing is required to fund any part of the project the Board will also consider approval of funding sources.
- E. A second Board meeting may be required for approval of any financing required for the project.
- F. The President is authorized to prescribe procedures consistent with Board Policy for review and approval of Capital Projects and Major Capital Projects.

III. Minimum Standards

Construction standards shall include the latest edition of the Arkansas Fire Prevention Code and, for healthcare facilities, the Arkansas Department of Health Rules and Regulations for Healthcare Facilities. The President is authorized to impose additional minimum construction standards.

~~The Southern Standard Building Code (1999, as amended) and the National Fire Protection Association (NFPA) codes as applicable shall be the construction standards for all campuses.~~

~~The President may request Board approval to combine multiple phases of project review depending on the complexity and cost of the project being recommended. The phases of the approval process are listed below:~~

- ~~1. Project proposal submitted to UA System Office

 - ~~• Project concept description developed~~
 - ~~• Request authority to begin process to select design professionals and if appropriate, general contractor or construction manager~~
 - ~~• Estimate of project costs and identification of potential funding sources~~
 - ~~• Request authority to select appropriate project delivery method: design-bid-build or negotiated construction and design contracts~~
 - ~~• Campus expresses intent to issue bonds as applicable~~
 - ~~• President approves and sends copies to the Board and the Chancellor of the requesting campus.~~~~
- ~~2. First Board Meeting

 - ~~• Board approves project concept description~~
 - ~~• Board selects architect and/or engineer~~
 - ~~• Board approves funding sources~~
 - ~~• Board selects construction manager or general contractor, as appropriate, for major projects in accordance with Board Policy 730.2~~~~
- ~~3. Second Board Meeting~~

- ~~Board approves project design~~
- ~~For other than major projects, Board authorizes solicitation of bids in accordance with law and authorizes contract award if the low bid is within the amount of funds available for the project~~
- ~~Board approves issuance of debt instruments, if appropriate~~

November 2020 (Revised)

April 26, 2001 (Revised)

October 2, 1992 (Revised)

March 30, 1979

~~ATTACHMENT A
CAPITAL PROJECT PROPOSAL FORM~~

~~Campus _____ Name of Proposed Facility _____~~

~~1. Project Function _____~~

~~2. Facility location & Description (Attach Map)~~

~~3. Total Project Cost~~

~~4. Parking Plan to Support New or Expanded Facility~~

~~5. Source of Project Funds~~